

Agenda

Meeting: Executive

**Venue: The Grand Meeting Room, County Hall,
Northallerton DL7 8AD**

Date: Tuesday, 29 January 2019 at 11.00 am

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Business

1. **Minutes of the meeting held on 15 January 2019**

(Page 9 to 36)

2. **Any Declarations of Interest**

3. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Melanie Carr of Democratic and Scrutiny Services and supplied the text (*contact details below*) by midday on 24 January 2019, three working days before the day of the meeting. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

4. **Budget 2019/20 and the Medium Term Financial Strategy (MTFS)** - Report of the Corporate Director - Strategic Resources

(Page 37 to 336)

(a) Revenue Plan

Recommendations: That the Executive recommends to the County Council:

- a) That the Section 25 assurance statement provided by the Corporate Director, Strategic Resources regarding the robustness of the estimates and the adequacy of the reserves (**paragraph 8.17**) and the risk assessment of the MTFS detailed in **Section 9** are noted.
- b) That, in accordance with Section 42A of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011), a Council Tax requirement for 2019/20 of £305,852,694 is approved and that a Council Tax precept of this sum be issued to billing authorities in North Yorkshire (**Section 3.3** and **Appendix C**).
- c) That, in accordance with Section 42B of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011) a basic amount (Band D equivalent) of Council Tax of £1,311.16 is approved (**paragraph 3.3.10** and **Appendix C**).
- d) That a Net Revenue Budget for 2019/20, after use of reserves, of £382,018k (**Section 4.0** and **Appendix F**) is approved and that the financial allocations to each Directorate, net of planned savings, be as detailed in **Appendix B**.
- e) That in the event that the final Local Government Settlement results in a variance of less than £5m in any single year then the difference to be addressed by a transfer to / from the Strategic Capacity Unallocated Reserve in line with **paragraph 3.2.8** with such changes being made to **Appendix D** as appropriate.
- f) That the Corporate Director – Children and Young People’s Service is authorised, in consultation with the Corporate Director, Strategic Resources and the Executive Members for Schools and Finance, to take the final decision on the allocation of the Schools Budget including High Needs (**paragraph 3.4.16**).
- g) That £360k is provided for Members Environmental Locality Budgets in 2019/20 in line with **paragraph 3.9.2**.
- h) That a further £1m is provided to fund the on-going 2020 North Yorkshire Programme and its emerging successor (Beyond 2020 Programme) in response to the need to plan for further savings proposals as set out in **paragraph 3.9.3**.
- i) That the Medium Term Financial Strategy for 2020/21 to 2021/22, and its caveats, as laid out in **Section 3.0** and **Appendix F** is approved.
- j) That the Corporate Director – Business & Environmental Services is authorised, in consultation with the Executive Members for BES, to carry out all necessary

actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (BES 1 to 7)**.

- k) That the Corporate Director – Health and Adult Services is authorised, in consultation with the Executive Members for HAS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (HAS 1 to 17)**.
- l) That the Corporate Director – Children and Young People’s Services is authorised, in consultation with the Executive Members for CYPS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (CYPS 1 to 7)**.
- m) That the Chief Executive is authorised, in consultation with the Executive Members for Central Services, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (CS 1 to 17)**.
- n) That any outcomes requiring changes following **Recommendations j), k), l), and m)** above be brought back to the Executive to consider and, where changes are recommended to the existing major policy framework, then such matters to be considered by full County Council.
- o) That the existing policy target for the minimum level of the General Working Balance is retained at £27m in line with **paragraphs 3.6.4 to 3.6.5 and Appendix E**.
- p) That the attached pay policy statement (**Appendix H**) covering the period 1 April 2019 to 31 March 2020 be approved as set out in **Section 6**.
- q) That the recommendation of the Chief Officers Appointment and Disciplinary Sub Committee to combine current grades Director 2 and 3 into a single grade be approved as set out in **Section 6** and as incorporated within **Appendix H**.

That the Executive notes and agrees the delegation arrangements referred to in **Section 10** that authorise the Corporate Directors to implement the Budget proposals contained in this report for their respective service areas and for the Chief Executive in those areas where there are cross-Council proposals.

That the Executive have regard to the Public Sector Equality Duty (identified in **Section 7 and Appendix I**) in approving the Budget proposals contained in this report.

(b) Capital Plan

Recommendations: The Executive is recommended to:

- (a) Approve the updated Capital Plan, summarised at **Appendix E** which incorporates a number of specific refinements reported in **paragraph 4**
- (b) Agree that no action be taken at this stage to allocate any further additional capital resources (**paragraph 6.4**)

- (c) Recommend to the County Council that the Q3 2018/19 Capital Plan, as summarised in **Appendices A to E** be adopted.

(c) Treasury Management Strategy

Recommendations: That the Executive recommends to County Council:

- a) The Treasury Management Policy Statement as attached as **Appendix A**;
- b) the Annual Treasury Management and Investment Strategy for 2019/20 as detailed in **Appendix B** and Prudential Indicators attached as **Schedule E**, in particular;
- i. an authorised limit for external debt of £537.2m in 2019/20;
 - ii. an operational boundary for external debt of £517.2m in 2019/20;
 - iii. the Prudential and Treasury Indicators for 2019/20 to 2020/21
 - iv. a limit of £40m of the total cash sums available for investment (both in house and externally managed) to be invested in Non Specified Investments over 365 days;
 - v. a 10% cap on capital financing costs as a proportion of the annual Net Revenue Budget;
 - vi. a Minimum Revenue Provision (MRP) policy for debt repayment to be charged to Revenue in 2019/20
 - vii. the Corporate Director – Strategic Resources to report to the County Council if and when necessary during the year on any changes to this Strategy arising from the use of operational leasing, PFI or other innovative methods of funding not previously approved by the County Council;
- c) the Capital Strategy as attached as **Appendix C**
- d) that the Audit Committee be invited to review Appendices A, B and C and submit any proposals to the Executive for consideration at the earliest opportunity.

5. Council Plan - Report of the Assistant Director (Policy, Partnerships and Communities)

(Page 337 to 370)

Recommendations: That the Executive:

- Approves the draft Council Plan and recommends it to the County Council for approval at its meeting on 20 February 2019 and;
- Recommends that the County Council authorise the Chief Executive to make any necessary changes to the text, including reflecting decisions made by the County

Council on the budget, Medium Term Financial Strategy and updated performance data.

6. Future Structure of the Coroner Service in North Yorkshire - Report of the Assistant Director (Policy, Partnerships and Communities)

(Page 371 to 384)

Recommendations:

That, subject to the agreement of the Ministry of Justice and the Chief Coroner to the remaining senior coroner also being appointed senior coroner for the other area on an interim basis or other similar arrangements, the two North Yorkshire coroner areas be managed as one area and one service from April 2019.

That discussions are held on the feasibility and desirability of merging the City of York and North Yorkshire coroner areas and services.

That the Executive delegates to the Chief Executive in consultation with the Executive Member with responsibility for the coroners service:

- a. The decision regarding submitting a proposal seeking merger of coroner areas to the Lord Chancellor.
- b. The decision regarding an agreement between North Yorkshire County Council and the City of York Council on the operation of the merged service and division of costs.

7. Response by the Scrutiny of Health Committee to the Notice of Motion to County Council on 14 November 2018 on the NHS Patient Transport Service and Community Hospitals - Report of the Democratic Services and Scrutiny Manager

(Page 385 to 388)

Recommendations:

Review and recommend the response by the Scrutiny of Health committee, to the Notice of Motion, to the County Council meeting on 20 February 2019.

8. LGOS Employer Discretions Policy - Report of the Assistant Chief Executive (Business Support)

(Page 389 to 392)

Recommendations:

The Executive are asked to note the proposed revisions to the LGPS Employer Discretions Policy and recommend to the full County Council that they approve the changes.

9. School Admission Arrangements for the School Year 2020/21 - Report of the Corporate Director - Children and Young People's Service

(Page 393 to 448)

Recommendations:

That the proposed Admission Arrangements be recommended to the County Council for approval on 20 February 2019 these include:

- i) the proposed admission policy for community and voluntary controlled schools; and
- ii) the proposed admissions policy for nursery schools, schools with nursery and Pre-reception classes, appendices 1 & 2.

- the proposed co-ordinated admission arrangements appendix 3
- the proposed In Year Fair Access Protocol appendix 3a
- the proposed published admission numbers (PAN's) for community and voluntary controlled schools as show in appendices 4 (Primary) and 5 (Secondary)

10. Forward Work Plan

(Page 449 to 460)

11. Other business which the Leader agrees should be considered as a matter of urgency because of special circumstances

Barry Khan
Assistant Chief Executive
(Legal and Democratic Services)
County Hall
Northallerton

Date: 21 January 2019

Notes:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance.

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

Executive Members

Name	Electoral Division	Areas of Responsibility
LES, Carl	Richmondshire Catterick Bridge	<p>Leader of the Council</p> <p>Communications, safer communities and emergency planning</p>
DADD, Gareth	Hambleton Thirsk	<p>Deputy Leader of the Council</p> <p>Finance and Assets and Special Projects inc finance and HR performance management</p>
CHANCE, David	Whitby/Mayfield cum Mulgrave	<p>Stronger Communities - inc Legal and Democratic Services, Corporate Development, Overview and Scrutiny Committees, Area Committees, performance management</p>
DICKINSON, Caroline	Northallerton	<p>Public Health, Prevention and Supported Housing - inc STP issues regarding the Friarage and Darlington Hospitals</p>
HARRISON, Michael	Lower Nidderdale and Bishop Monkton	<p>Health and Adult Services - inc Health and Wellbeing Board, health integration and Extra Care</p>
LEE, Andrew	Cawood and Saxton	<p>Open to Business - inc growth, economic development, planning, waste management, trading standards and business relations</p>
MACKENZIE, Don	Harrogate Saltergate	<p>Access - inc highways, road and rail transport, broadband and mobile phones</p>
MULLIGAN, Patrick	Airedale	<p>Education and Skills - inc early years, schools, apprenticeships, FE colleges and UTC's and engagement with the skills part of the LEP</p>
SANDERSON, Janet	Thornton Dale and the Wold	<p>Children and Young People's Services with responsibility for foster and adoption, children's social care and prevention</p>
WHITE, Greg	Pickering	<p>Customer Engagement inc Contact Centre, web site, libraries, digital and performance management (complaints and compliments)</p>

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