

Agenda

Meeting: Executive

Venue: The Grand Meeting Room, County Hall, Northallerton DL7 8AD

Date: Tuesday, 15 January 2019 at 11.00 am

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Business

1. **Minutes of the meeting held on 4 December 2018**

(Page 7 to 11)

2. **Any Declarations of Interest**

3. **Exclusion of the public from the meeting during consideration of each of the items of business listed in Column 1 of the following table on the grounds that they each involve the likely disclosure of exempt information as defined in the paragraph(s) specified in column 2 of Part 1 of Schedule 12A to the Local Government Act 1972 as amended by the Local Government (Access to information)(Variation) Order 2006:-**

Item number on the agenda	Paragraph Number
13	3

4. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Melanie Carr of Democratic and Scrutiny Services and supplied the text (*contact details below*) by midday on 10 January 2019, three working days

before the day of the meeting. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

5. Harrogate Adult Community Services Health and Social Care Integration - Report of the Corporate Director - Health and Adult Services

(Pages 12 to 153)

Recommendations:

The Executive asked to

- (a) approve the Programme Final Business Case (Appendix A).
- (b) delegate to the Corporate Director - Strategic Resources, Corporate Director – Health and Adult Services and Assistant Chief Executive (Legal and Democratic Services), in consultation with the relevant executive members, the consultation on, and completion of a Section 75 Agreement and any other alliance and partnership agreements that may be necessary to underpin the programme.
- (c) approve that, prior to implementing any further developments beyond the milestones for Year One, further business case(s) will be brought back to the Executive for review.

6. Alternative Investments - Year 1 Review - Report of the Corporate Director - Strategic Resources

(Page 154 to 168)

Recommendations:

It is recommended that the Executive:

- i) Note the progress to date and the future actions proposed
- ii) Approve an increase in the total amount available for alternative investment from £50m to £60m;
- iii) Approve the revised thresholds set out at Appendix C;
- iv) Approve the extension of the delegations to the Commercial Investment Board (formally through the Corporate Director Strategic Resources) to a limit of £2.5m (from £1m) per investment and up to a total of £10m (from £5m) in any one financial year.

7. Schools Budget - Report of the Corporate Director - Children and Young People's Service

(Page 169 to 174)

Recommendations:

The Council's Executive is asked to agree:

- a. That the Council continues to use the principles of the DfE's National Funding Formula, including the various transitional arrangements, and a Minimum Funding Guarantee of - 1.5%, as a basis for funding schools in 2019-20; and
- b. That, subject to approval being received by the Secretary of State, 1% of the Schools Block will be used to support High Needs costs in 2019-20. In the event of the 1% transfer request

being refused by the Secretary of State, that 0.5% of the Schools Block will be used to support High Needs costs in 2019-20, as agreed by the North Yorkshire Schools Forum; and

- c. That the Council will continue to push for a fairer and more equitable funding settlement for schools in North Yorkshire. We will also continue to lobby for a fairer settlement of High Needs resources.

8. Changing the Resource Allocation System from Can-Do to a banded system - Report of the Corporate Director - Children and Young People's Service

(Page 175 to 337)

Recommendations:

That Executive :

Approves the recommendation :

- To implement a new banding system for allocating top-up funding based on the funding proposal and rates identified in the consultation.
- To finalise the full implementation plan so that the new system can be implemented from April 2019.

9. Pupil Referral Service and Alternative Provision (PRS/AP) Budget and Fund Modelling - Report of the Corporate Director - Children and Young People's Service

(Page 338 to 573)

Recommendations:

That Executive approves the amended recommendations below which have taken into account the key areas raised as part of the consultation process

- Acknowledges that this proposal is part of a reshaping of the full continuum of education for children and young people with SEMH and additional needs as detailed in the Strategic Plan for SEND provision as agreed by the Executive in September 2018.
- Proceed with the development of a revised model of AP in localities in collaboration with secondary and PRS Head teachers and to finalise the new model and associated funding by September 2019
- Implement the new models of AP from September 2020 rather than the original timescale of September 2019 to allow for a longer transition period
- Proceed with the principle of funding on a place basis to ensure equity and transparency of funding and expectations of delivery
- Remove 50% of discretionary funding from PRS/AP budgets from April 2019 until September 2020 to reduce the impact of the budget changes on the PRS/AP provision and provide greater organisational stability whilst the new model is finalised.
- Continue to fund AP places at £19K until September 2019 when the revised rate will apply of £18,000 in line with national average rather than £17,000 as detailed in the original proposal
- Commission medical in reach places at £10,000 per place as originally proposed up to September 2020
- Continues with the current model of home and in reach medical tuition until September 2020
- Subject to approval for permission to consult, changes proposed to medical tuition will be subject to a separate public consultation in April 2019
- Introduce a revised Service Level Agreement and monitoring framework for commissioned PRS/AP provision from April 2019.

10. Changes to Funding Arrangements for Post 16/19 placements for Young People with Education, Health and Care Plans - Report of the Corporate Director - Children and Young People's Service

(Page 574 to 722)

Recommendations:

That Executive approves:

- To fund mainstream School Sixth Forms in line with FE colleges and to fund 600 hours of education per year for young people with EHC Plans and to implement this change from April 2019
- To continue to fund special school sixth forms for 25 hours of provision
- From September 2019, where a young person has a five day package, for the majority of young people to jointly fund across Adult Social Care and Education to reflect the 40%:60% contribution to funding respectively. Individual negotiations across Adult Social Care and Education will still be held for individual cases where funding allocations need to be varied from this norm.
- That the Local Authority accepts responsibility for the EHCP and its statutory responsibilities under the Children and Families Act 2014 and the Care Act 2014 and would ensure that assessed needs and provision over five days were supported by appropriate funding regardless of the source of the funding.
- That this additional budget pressure to HAS will be addressed from additional proposed contingency budget (core Council funds) underpinning SEN so that there is a net nil impact to the HAS budget. This will be implemented in the Revenue Budget / MTFS report scheduled for 29 January 2018 should this proposal be approved.
- That implementation work continues with HAS (Health and Adult Services) over coming months to ensure the Local Authority remains compliant both with the Children and Families Act and the Care Act in ensuring we work together to ensure well planned and co-ordinated packages and transitions for this group of young people

11. Harrogate Congestion Study - Options Assessment Report Addendum - Report of the Corporate Director - Business and Environmental Services

(Page 723 to 763)

Recommendations:

It is recommended that the findings of the Options Assessment Report Addendum form the basis of public consultation into both packages B and E.

12. Forward Work Plan

(Page 764 to 774)

13. Private Minutes of the meeting held on 4 December 2018

(Page 775)

14. Other business which the Leader agrees should be considered as a matter of urgency because of special circumstances

Barry Khan
Assistant Chief Executive
(Legal and Democratic Services)

County Hall
Northallerton

Date: 7 January 2019

Notes:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance.

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

Executive Members

Name	Electoral Division	Areas of Responsibility
LES, Carl	Richmondshire Catterick Bridge	<p>Leader of the Council</p> <p>Communications, safer communities and emergency planning</p>
DADD, Gareth	Hambleton Thirsk	<p>Deputy Leader of the Council</p> <p>Finance and Assets and Special Projects inc finance and HR performance management</p>
CHANCE, David	Whitby/Mayfield cum Mulgrave	<p>Stronger Communities - inc Legal and Democratic Services, Corporate Development, Overview and Scrutiny Committees, Area Committees, performance management</p>
DICKINSON, Caroline	Northallerton	<p>Public Health, Prevention and Supported Housing - inc STP issues regarding the Friarage and Darlington Hospitals</p>
HARRISON, Michael	Lower Nidderdale and Bishop Monkton	<p>Health and Adult Services - inc Health and Wellbeing Board, health integration and Extra Care</p>
LEE, Andrew	Cawood and Saxton	<p>Open to Business - inc growth, economic development, planning, waste management, trading standards and business relations</p>
MACKENZIE, Don	Harrogate Saltergate	<p>Access - inc highways, road and rail transport, broadband and mobile phones</p>
MULLIGAN, Patrick	Airedale	<p>Education and Skills - inc early years, schools, apprenticeships, FE colleges and UTC's and engagement with the skills part of the LEP</p>
SANDERSON, Janet	Thornton Dale and the Wold	<p>Children and Young People's Services with responsibility for foster and adoption, children's social care and prevention</p>
WHITE, Greg	Pickering	<p>Customer Engagement inc Contact Centre, web site, libraries, digital and performance management (complaints and compliments)</p>