

Agenda

Meeting: Executive

**Venue: Meeting Room 3, County Hall,
Northallerton DL7 8AD**

Date: Tuesday, 30 January 2018 at 11.00 am

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Business

1. **Minutes of the meeting held on 16 January 2018** (Page 8 to 11)
2. **Any Declarations of Interest**
3. **Exclusion of the public from the meeting during consideration of each of the items of business listed in Column 1 of the following table on the grounds that they each involve the likely disclosure of exempt information as defined in the paragraph(s) specified in column 2 of Part 1 of Schedule 12A to the Local Government Act 1972 as amended by the Local Government (Access to information)(Variation) Order 2006:-**

Item number on the agenda	Paragraph Number
10	1 and 4

4. **Public Questions or Statements.**

Members of the public may ask questions or make statements at this meeting if they have given notice to Daniel Harry and supplied the text (*contact details below*) by midday on 25 January 2018, three working days before the day of the meeting. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);

- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

5. Feedback from Area Committees

(Page 12 to 15)

Hambleton Area Committee - 4 December 2017

Recommendations:
That the report be noted.

6. Council Plan 2018-2022 and Community Plan - Report of the Assistant Director - Policy and Partnerships

(Page 16 to 49)

Recommendations:

That the Executive approves the draft Council Plan and recommends it to the County Council for approval at its meeting on 21 February 2018, and

That the Executive recommends that the County Council authorise the Chief Executive to make any necessary changes to the text, including reflecting decisions made by the County Council on the budget, Medium Term Financial Strategy and updated performance data.

That the Executive recommends to the County Council that the Council ceases to have a community plan and that the requirement to have a community plan is deleted from the policy framework in article 4 of the Council's Constitution.

Medium Term Financial Strategy 2018/19 to 2021/22 and Revenue Budget for 2018/19 - Report of the Corporate Director - Strategic Resources

6(a) Revenue Plan

(Page 50 to 157)

Recommendations:
That the Executive recommends to the County Council:

- a) That the Section 25 assurance statement provided by the Corporate Director, Strategic Resources regarding the robustness of the estimates and the adequacy of the reserves (**paragraph 8.11**) and the risk assessment of the MTFS detailed in **Section 9** are noted.
- b) That, in accordance with Section 42A of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011), a Council Tax requirement for 2018/19 of £287,757,993.86 is approved and that a Council Tax precept of this sum be issued to billing authorities in North Yorkshire (**Section 3.3** and **Appendix A**).
- c) That, in accordance with Section 42B of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011) a basic amount (Band D equivalent) of Council Tax of £1,248.85 is approved (**paragraph 3.3.10** and **Appendix A**).
- d) That a Net Revenue Budget for 2018/19, after use of reserves of £361,551k (**Section 4.0** and **Appendix D**) is approved and that the financial allocations to each Directorate, net of planned savings, be as detailed in **Appendix F**.

- e) That in the event that the final Local Government Settlement results in a variance of less than £5m in any single year then the difference to be addressed by a transfer to / from the Strategic Capacity Unallocated Reserve in line with **paragraph 3.2.3** with such changes being made to **Appendix B** as appropriate.
- f) That the Corporate Director – Children and Young People’s Service is authorised, in consultation with the Executive Member for Schools, to take the final decision on the allocation of the Schools Budget including High Needs (**paragraph 3.4.16**).
- g) That £2.5m is provided from the Strategic Capacity Unallocated Reserve for the rationalisation of property in Northallerton from 2019/20 as set out in **paragraph 3.9.1**.
- h) That £360k is provided from the Strategic Capacity Unallocated Reserve for Members Highways Locality Budgets in 2018/19 in line with **paragraph 3.9.1** and that authority be delegated to the Corporate Director, Business & Environmental Services in consultation with the Executive Member for Highways to develop and implement proposals on how the scheme should operate.
- i) That £10,816k (split as £5,398k in 2018/19 and a further £5,418k in 2019/20) is provided from the Strategic Capacity Unallocated Reserve to complete the roll- out of the new LED units as set out in **paragraph 3.9.1** in order to deliver the saving proposed – BES 2 in Appendix E1.
- j) That the Medium Term Financial Strategy for 2019/20 to 2021/22, and its caveats, as laid out in **Section 3.0** and **Appendix D** is approved.
- k) That the Corporate Director, Strategic Resources is authorised, in consultation with the Executive Member for Finance, to apply up to £1m of the Savings Contingency provided for within the Budget / MTFs in any one year to provide for financial pressures on a recurring basis and that any application will be reported to the subsequent quarterly revenue budget monitoring report (**paragraph 3.1.5**).
- l) That the Corporate Director – Business & Environmental Services is authorised, in consultation with the Executive Members for BES, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix E (BES 1 to 6)**.
- m) That the Corporate Director – Health and Adult Services is authorised, in consultation with the Executive Members for HAS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix E (HAS 1 to 14)**.
- n) That the Corporate Director – Children and Young People’s Services is authorised, in consultation with the Executive Members for CYPS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix E (CYPS 1 to 12)**.
- o) That the Chief Executive is authorised, in consultation with the Executive Members for Central Services, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix E (CS 1 to 13)**.
- p) That any outcomes requiring changes following **Recommendations l), m) n) and o)** above be brought back to the Executive to consider and, where changes are recommended to the existing major policy framework, then such matters to be

considered by full County Council.

- q) That the existing policy target for the minimum level of the General Working Balance is retained at £27.27m in line with **paragraphs 3.6.4 to 3.6.5** and **Appendix C**.
- r) That the attached pay policy statement (**Appendix G**) covering the period 1 April 2018 to 31 March 2019 (**Section 6**) be approved.

6(b) Capital Plan **(Page 158 to 176)**

Recommendations:

The Executive is recommended to:

- (a) approve the updated Capital Plan, summarised at **Appendix E** which incorporates a number of specific refinements reported in **paragraph 4**
- (b) agree that no action be taken at this stage to allocate any further additional capital resources (**paragraph 5.4**)
- (c) recommend to the County Council that the Q3 2017/18 Capital Plan, as summarised in **Appendices A to E** be adopted

6(c) Treasury Management **(Page 177 to 241)**

Recommendations:

That Members recommend to the County Council

- a) the Treasury Management Policy Statement as attached as **Appendix A**;
- b) the Annual Treasury Management and Investment Strategy for 2018/19 as detailed in **Appendix B** and in particular;
 - (i) an authorised limit for external debt of £348.9m in 2018/19;
 - (ii) an operational boundary for external debt of £328.9m in 2018/19;
 - (iii) the Prudential and Treasury Indicators
 - (iv) a limit of £40m of the total cash sums available for investment (both in house and externally managed) to be invested in Non Specified Investments over 365 days;
 - (v) a 10% cap on capital financing costs as a proportion of the annual Net Revenue Budget;
 - (vi) a Minimum Revenue Provision (MRP) policy for debt repayment to be charged to Revenue in 2018/19
 - (vii) the Corporate Director – Strategic Resources to report to the County Council if and when necessary during the year on any changes to this Strategy arising from the use of operational leasing, PFI or other innovative methods of funding not previously approved by the County Council;

c) the Capital Strategy as attached as **Appendix C**

d) that the Audit Committee be invited to review **Appendices A, B and C** and submit any proposals to the Executive for consideration at the earliest opportunity.

6(d) Prudential Indicators

(Page 242 to 253)

Recommendations:

That the Executive recommends to the County Council that it

- (i) approves the updated Prudential Indicators for 2018/19 to 2020/21 as set out in **Appendix A**
- (ii) approves an Authorised Limit for External Debt of £348.9m in 2018/19 under Section 3(1) of the Local Government Act 2003 (**paragraph 3.4**).

7. School Admission Arrangements for the School Year 2019/20 - Report of the Corporate Director - Children and Young People's Service

(Page 254 to 295)

Recommendations:

That the proposed Admission Arrangements be recommended to the County Council for approval on 21 February 2018 these include:

1. i) the proposed admission policy for community and voluntary controlled schools; and
ii) the proposed admissions policy for nursery schools, schools with nursery and Pre-reception classes, appendices 1 & 2.
2. the proposed co-ordinated admission arrangements appendix 3
3. the proposed In Year Fair Access Protocol appendix 3a
4. the proposed published admission numbers (PAN's) for community and voluntary controlled schools as show in appendices 4 (primary) and 5 (Secondary)

8. Forward Work Plan

(Page 296 to 305)

9. Re-provision of services 101 Prospect Mount Road, Scarborough - Report of the Corporate Director - Health and Adult Services (REPORT CONTAINS EXEMPT INFORMATION.)

(Page 306 to 311)

10. Other business which the Leader agrees should be considered as a matter of urgency because of special circumstances

Barry Khan
Assistant Chief Executive
(Legal and Democratic Services)

County Hall
Northallerton

Date: 22 January 2018

Notes:

Emergency Procedures for Meetings

Fire

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Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

Executive Members

Name	Electoral Division	Areas of Responsibility
LES, Carl	Richmondshire Catterick Bridge	Leader of the Council Communications, safer communities and emergency planning
DADD, Gareth	Hambleton Thirsk	Deputy Leader of the Council Finance and Assets and Special Projects inc finance and HR performance management
CHANCE, David	Whitby/Mayfield cum Mulgrave	Stronger Communities - inc Legal and Democratic Services, Corporate Development, Overview and Scrutiny Committees, Area Committees, performance management
DICKINSON, Caroline	Northallerton	Public Health, Prevention and Supported Housing - inc STP issues regarding the Friarage and Darlington Hospitals
HARRISON, Michael	Lower Nidderdale and Bishop Monkton	Health and Adult Services - inc Health and Wellbeing Board, health integration and Extra Care
LEE, Andrew	Cawood and Saxton	Open to Business - inc growth, economic development, planning, waste management, trading standards and business relations
MACKENZIE, Don	Harrogate Saltergate	Access - inc highways, road and rail transport, broadband and mobile phones
MULLIGAN, Patrick	Airedale	Education and Skills - inc early years, schools, apprenticeships, FE colleges and UTC's and engagement with the skills part of the LEP
SANDERSON, Janet	Thornton Dale and the Wold	Children and Young People's Services with responsibility for foster and adoption, children's social care and prevention
WHITE, Greg	Pickering	Customer Engagement inc Contact Centre, web site, libraries, digital and performance management (complaints and compliments)